

IMP NOTE - _____

EKYC ACCOUNT OPENING - BASIC DETAILS OF CLEINT



Matalia
TRUST
TECHNOLOGY
TRANSPARENCY

Matalia Stock Broking Pvt. Ltd.
Rajkot
Phone - 0281 6697500 / 501

Segment Required :-

- | | | |
|------------------------------------|------------------------------|------------------------------|
| <input type="checkbox"/> CASH | <input type="checkbox"/> NSE | <input type="checkbox"/> BSE |
| <input type="checkbox"/> FO | <input type="checkbox"/> NSE | <input type="checkbox"/> BSE |
| <input type="checkbox"/> CURRENCY | <input type="checkbox"/> NSE | <input type="checkbox"/> BSE |
| <input type="checkbox"/> SLBM | <input type="checkbox"/> NSE | <input type="checkbox"/> BSE |
| <input type="checkbox"/> COMMODITY | <input type="checkbox"/> MCX | |

Photo

Date:

No.	Details	To be filed by Client	
1	Account Opening Type	Trading <input type="checkbox"/>	Demat <input type="checkbox"/>
2	Client Name		
3	Trading Code		
4	Mobile No		Relationship -
5	E Mail ID		Relationship -
6	Mother Name		
7	Occupation		
8	Education		
9	Branch Code		
10	Marital Status	Married <input type="checkbox"/>	Unmarried <input type="checkbox"/>
11	Annual Income	<1 Lacs <input type="checkbox"/>	1-5 Lacs <input type="checkbox"/>
		5-10 Lacs <input type="checkbox"/>	10-25 Lacs <input type="checkbox"/>
		25>Lacs <input type="checkbox"/>	
12	Introducer	Introducer Name:- Introducer Trading code:-	
13	Brokerage		
14	Demat Schemes Options	A1 <input type="checkbox"/>	B1 <input type="checkbox"/>
		E1 <input type="checkbox"/>	BSDA <input type="checkbox"/>
		Other <input type="checkbox"/>	
15	Payment Option	Cash <input type="checkbox"/>	Cheque <input type="checkbox"/>
		Auto Debit <input type="checkbox"/>	Amount: _____
		Bank Details - _____	Cheque no- _____
		Amount Received - Rs. _____	
		Receipt Number - _____	
		Date - _____	
16	UID or Virtual ID		
17	User	<input type="checkbox"/> R	<input type="checkbox"/> A
19	Process flow	<input type="checkbox"/> Entry Done - on date _____ <input type="checkbox"/> Photo captured - on date _____ <input type="checkbox"/> Video IPV done- Date _____ <input type="checkbox"/> Entry Approved on date _____ <input type="checkbox"/> E sign process on date _____ <input type="checkbox"/> Data fetched on Estronet _____	
20	Any query (Pl mention)		



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એકાઉન્ટ ઓપન કરવા માટે જરૂરી ડોક્યુમેન્ટ નું લિસ્ટ -

- પાન કાર્ડ ની ઓરિજિનલ અને સેફ્ઝ એટેસ્ટેડ ઝેરોક્સ કોપી
- આધાર કાર્ડ ની ઓરિજિનલ અને સેફ્ઝ એટેસ્ટેડ ઝેરોક્સ કોપી
- બેંક ચેક (જેમાં હોલ્ડર નું નામ છપાયેલ હોય) - જો ચેક માં હોલ્ડર નું નામ ન હોય તો પાસબુક અથવા બેંક સ્ટેટમેન્ટ ની સેફ્ઝ એટેસ્ટેડ ઝેરોક્સ કોપી - જે ત્રણ મહિના થી જૂની ન હોવી જોઈએ
- અરજદાર નો ફોટો (એક)
- નોમિની નો ફોટો (એક)
- નોમિની નું કોઈ એક પૂરું - જેમકે તેનું પાન કાર્ડ કે આધાર કાર્ડ ની ઝેરોક્સ કોપી
- ડિમેટ ની સ્કીમ પ્રમાણે આપવાનો થતો ચેક અથવા કેશ

અમારી ઓન લાઇન એકાઉન્ટ ઓપનિંગ પ્રોસેસ ક્લાઇન્ટ માટે ઉપયોગી માહિતી -

1. આપને પહેલા આપના મોબાઇલ તથા ઈ મેલ ઉપર ત્રણ + ત્રણ નુમેરીક OTP મળશે
2. જ્યારે અમારા તરફ થી આપના મોબાઇલ તથા ઈ મેલ ઉપર આપનો ઓન લાઇન ફોટો લેવા માટે લિંક મોકલવામાં આવે ત્યારે તે ઉપરથી આપનો ઓન લાઇન ફોટો લેવો.
3. જ્યારે અમારા તરફ થી આપના મોબાઇલ તથા ઈ મેલ ઉપર આપનો IPV માટે વિડિઓ લેવા માટે લિંક મોકલવામાં આવે ત્યારે તે ઉપરથી આપનો ઓન લાઇન વિડિઓ લેવો વિડિઓ માં શું બોલવું તેની માહિતી અમારા EXECUTIVE જે તે સમયે આપશે
4. ત્યાર બાદ આધાર વેરિફિકેશન માટે આપને OTP મોકલવામાં આવશે જેમાં પહેલો OTP એકાઉન્ટ ઓપનિંગ ફોર્મ માં આપેલ મોબાઇલ નંબર માં આવશે અને બીજો OTP આપના આધાર સાથે લિંક કરેલ મોબાઇલ નંબર માં આવશે (જો આપના આધાર જોડે મોબાઇલ નંબર લિંક ન હોય તો આપે અમારી હેડ ઓફિસ પર થમ્બ ઇમ્પ્રેશન - આધાર બેસ વેરિફિકેશન - માટે આવવું પડશે)

અમારા ઓફિસ ના ફોન નંબર 0281 - 6697500 / 501.

Below any one document(s) will be required as income proof:
(For activation of FO, CURRENCY & MCX)

1. Bank statement for the last 6 months with an average balance of more than Rs.10,000.
2. The latest salary slip with gross monthly income exceeding Rs.15,000.
3. ITR acknowledgement with gross annual income exceeding Rs.1,20,000.
4. Form 16 with gross annual income exceeding Rs.1,20,000.
5. Certificate of net worth more than Rs. 10,00,000.
6. Statement of Demat holdings with holdings value exceeding Rs. 10,000.

Date	D	D	M	M	Y	Y	Y	Y	UCC/DP ID	I	N							Client ID										
------	---	---	---	---	---	---	---	---	-----------	---	---	--	--	--	--	--	--	-----------	--	--	--	--	--	--	--	--	--	--

I/We wish to make a nomination. [As per details given below]

Nomination Details

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in the account.		Details of 1 st Nominee	Details of 2 nd Nominee	Details of 3 rd Nominee
1	Name of the nominee(s) (Mr./Ms.)			
2	Share of each Nominee <input type="checkbox"/> Equally <small>[If not equally, please specify percentage]</small>	%	%	%
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>				
3	Relationship With the Applicant (If Any)			
4	Address of Nominee(s) <div style="text-align:right; border: 1px solid black; width: 100px; height: 20px; margin-left: auto;">PIN Code</div>			
5	Mobile/Telephone No. of nominee(s)			
6	Email ID of nominee(s)			
7	Nominee Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:

8	Date of Birth {in case of minor nominee(s)}			
9	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10	Address of Guardian(s) <div style="text-align:right; border: 1px solid black; width: 100px; height: 20px; margin-left: auto;">PIN Code</div>			
11	Mobile/Telephone no. of Guardian			
12	Email ID of Guardian			
13	Relationship of Guardian with nominee			
14	Guardian Identification details – [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature <input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Saving Bank account no. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID			


	Name(s) of holder(s)	Signature(s) of holder*
Sole / First Holder (Mr./Ms.)		
Second Holder (Mr./Ms.)		
Third Holder (Mr./Ms.)		

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature
Note:

This nomination shall supersede any prior nomination made by the account holder(s), if any.
The Trading Member / Depository Participant shall provide acknowledgement of the nomination form to the account holder(s)

Declaration Form for opting out of nomination

[Annexure B to SEBI circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2021/601 dated July 23, 2021 on Mandatory Nomination for Eligible Trading and Demat Accounts]

 <p style="font-size: small; margin-top: 5px;">Member : NSE ● BSE ● NSDL ● MCX²SX ● MCX</p>	Date	D	D	M	M	Y	Y	Y	Y	
<p>To, MATALIA STOCK BROKING PVT LTD. Matalia House, 21-New Jagnath Plot, Rajkot 360001</p>										
UCC/DP ID										
Client ID (only for Demat account)										
Sole/First Holder Name										
Second Holder Name										
Third Holder Name										
<p>I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.</p>										
Name and Signature of Holder(s)*										
<p>1. _____ 2. _____ 3. _____</p>										

* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

POWER OF ATTORNEY (POA)

This Power of Attorney (POA) is executed by the persons mentioned in the Schedule to the POA in favour of "MATALIA STOCK BROKING PVT. LIMITED", a company incorporated under the provisions of the Companies Act, 1956 in India (hereinafter referred to as 'MSBPL', an expression deemed to mean and include its successors and assigns) and having its registered office at "Matalia House", 21-New Jagnath, Near Jain Bhuvan, Rajkot - 360001.

WHEREAS MSBPL is a member of the National Stock Exchange of India Limited ("NSE"), Bombay Stock Exchange Limited ("BSE"), Metropolitan Stock Exchange of India Limited ("MSEI") and Multi Commodity Exchange of India ("MCX") on the Capital Market Segment, Futures & Options Segment, Currency Derivatives Segments and Commodity Derivatives Segments.

WHEREAS MSBPL is also a "Depository Participant" registered with (NSDL) bearing Regn No. IN-DP-NSDL-302-2008.

AND WHEREAS MSBPL is registered with Association of Mutual Funds in India (AMFI) as Mutual Fund Distributor having Registration no. ARN 27732.

AND WHEREAS, I/We wish to avail or I/We am/ are a client availing of the services offered by MSBPL and have entered into agreement(s) with MSBPL for transacting in securities/commodities with /without On-Line trading /E-broking & web based necessary documents/ facilities and/ or services through its website www.matalia.co.in or any other website used for offering said Services. Details of my/ our Trading and Demat account with MSBPL are provided in Schedule to this POA.

Whereas in the course of availing the services and for meeting the settlement obligations thereof on the Exchanges, I/We do hereby nominate, constitute and appoint MSBPL acting through their Directors, officers and/or duly authorized staff for the purpose, as my/our true and lawful constituted attorneys for my depository account (s) as provided in the Schedule to the POA and at my risk and costs to do, execute, exercise and perform all or any of the following acts, deeds, matters and things:

1. To have and exercise the powers and / or authority, and to do and / or execute the acts, deeds matters and things specified in agreement between MSBPL and the Client as may be applicable in relation to the transactions executed by the client
2. To operate depository account(s) for giving /receiving instructions, for the purpose of transferring any collateral to the margin account and / or honoring delivery obligations, for any transactions executed through MSBPL on recognized stock exchanges, under my/our instructions, in any form or format and at any time either electronically or otherwise or through the portal of the MSBPL or through the internet or any other mode.
3. To instruct the DP to debit securities/commodities to my / our aforesaid demat account and/or transfer securities/commodities from the said account and/or instruct the DP to execute the share/commodity transfer request given by me/us in electronic form or otherwise through MSBPL to pool/margin/collateral/any other beneficiary account of MSBPL as per the Schedule to POA, to the extent of shares/commodities sold through MSBPL for pay-in obligation as well as for upfront margins/settlement obligations towards Stock Exchanges across all segments and to meet such obligation which may arise on account of my/our availing of any service from MSBPL.
4. To sign instructions on my behalf with respect to debit/credit/ the depository account/(s) for the credit or benefit of my/our account with MSBPL, for the transactions carried by me / us with MSBPL.

5. To validate on my/our behalf any such instruction so given to the Depository Participant(s), in written / physical or any other form as may be required by the concerned depository participant.
6. For these purposes and to this extent, MSBPL is empowered by me/us, to affix their signatures to any document, form or any other record, being a delivery instruction given by me/us to the said depository participant, as required by the concerned depository.
7. I/We also undertake to pay such demat charges/fees and such other charges incurred by the MSBPL under this power of attorney and that I/We further authorize MSBPL to debit my/our account with MSBPL with the said charges as and when the same becomes due for payment and I/We hereby undertake to pay the same immediately on demand made by MSBPL.
8. To register this Power of Attorney with the Depository Participant and/ or with any other party concerned as is require within the parameters of this Power of Attorney
9. To sign all applications, apply for, subscribe to or enter into correspondence with respect to any Initial Public offers/offers for Sale of Securities /commodities of the companies /Units of mutual fund, Asset Management company or any other "Investment Products" offered through the website www.matalia.co.in (owned by MSBPL) or any other means as per the instructions made available to them by me/us by electronic mail or through the website or any other mode as specified on the website or otherwise.
10. To make payment to any party with respect to the aforesaid products mentioned in point 9 above on my/our behalf to fulfill the transaction requested by me/us.
11. To enter into correspondence with market participant with respect to any transaction in any Investment Products.
12. To forward all such applications placed through the website to the online-IPO module of the concerned Exchange or owner/issuer of "Investment Product".
13. To receive intimation from the Exchange and any other party regarding the allocation / allotment / rejection / regret of the securities/commodities or such other "Investment Product" applications / (Voluntary)subscriptions/withdrawal or any other communications.
14. To authorize MSBPL to invest on behalf of me/us and to hold the mutual fund /asset management company(ies) based on the request given by me/us. I confirm not to hold such mutual fund/asset management company /MSBPL liable for any transaction processed on the based on my/our request To correspond with and give notice to the corresponding asset management company / body corporate(s)/issuer/registrar and transfer agent of securities/commodities including giving instructions with regard to nomination/change in investment plans/any other changes that may be necessitated, pursuant to the authorization given by me to MSBPL in this regard.
15. To deposit/transfer on allotment, the securities/commodities, debentures, units of the mutual funds and/or all other investments products applied through MSBPL, on allotment, to my/our Beneficiary Ownership Demat Account opened for the purpose with the MSBPL-DP.
16. To do all other acts and things as may be necessary to affect the subscription/purchase /redemption or any other transaction in any Investment Product for which services availed from MSBPL.

	SOLE / FIRST HOLDER	SECOND HOLDER	THIRD HOLDER
SIGNATURE			

17. To debit my Trading account towards monies/fees/charges etc. payable to MSBPL or to a market participant service provider / any of the affiliates / subsidiaries of MSBPL by virtue of fees / charges incurred under this POA, demat charges, or any such costs incurred for I/We using/subscribing to any of the facilities/services provided either by MSBPL or through a Third Party service provider or by any other security or financial instrument on behalf of me/us through MSBPL or any third party. To bind ourselves with respect to any instruction given by first holder or any other holder to MSBPL.
18. In case of any erroneous transfer done by the attorney, the attorney may return the same to my account as soon it comes to their notice.
19. To send the consolidated summary of client wise scrip wise buy and sell position with average rates by email or SMS.
20. To authorize MSBPL to transfer the securities /commodities to any of the demat accounts of MSBPL mentioned in Schedule to the POA.
21. We, the joint holders (first, second, third holders) of demat account agree, ratify and confirm to bind ourselves to any instructions given by the first holder of the demat account, being the trading account holder, as herein above mentioned, who shall be the exclusive beneficiary of the transactions carried out pursuant to this Power of Attorney, the Director/s and/or Authorised Signatories, who have in token thereof, subscribed their signature thereto.
22. We do hereby confirm and declare that this Power of Attorney shall be valid, effective, operative and shall remain in force till the same is revoked by me/us by sending written request at the head office. Further, I/We hereby confirm that such revocation shall not affect my/our obligations outstanding at the time such revocation and the authority granted hereunder shall continue until all transactions and instructions already executed or issued in pursuance of this Power of Attorney and all outstanding towards MSBPL have been settled.
23. That, I/We hereby declare that all the actions taken by my/our above mentioned attorney (herein MSBPL) in this regards shall be deemed to be action done by me/us and if necessary shall be ratified by me/us on the instruction of the said attorney and that such actions will be binding on me/us.
24. I/We agree that MSBPL is entitled to credit the proceeds of any instructions. This document shall be subject to the jurisdiction of the courts in Rajkot.
25. To pledge the securities for the limited purpose of meeting the margin requirements in connection with the trades executed on the stock exchanges.
26. In witness whereof I/we have executed this revocable Power of Attorney on the day, date and year herein as mentioned.

Schedule to the POA

Name	Address
First / Sole Holder (Trading Account Client)	
Second Holder	
Third Holder	
Demat Account No.	

PARTICULARS OF MARGIN / POOL ACCOUNT NOS. OF MSBPL

Client Collateral A/c. (All Segment)	IN 303575 - 10288279	NSE Cash	CM BP ID : IN 564756 - 10001201	BSE Cash	CM BP ID : IN 655275 - 10001905
	IN 303575 - 10289592		1601010000361249		CM ID : 937 1601010000271839
Client Margin Trading Securities A/c.	IN 303575 - 10288527	Client Unpaid Securities A/c. (CUSA)-NSE	EPI A/c. 1100001100019697	NSE MF CM BP ID	EPI A/c. 1100001000019253
	IN 303575 - 10289621		IN 303575 - 10268940 (For NSDL)		NSDL : IN 565576, CDSL : M51452
	IN 303575 - 10291571	(CUSA)-BSE	1601010000455603 (For CDSL)	BSE MF CM BP ID	NSDL : IN 620031, CDSL : 1100001000020972
			IN 303575-10268931		

IN WITNESS WHEREOF I/We have hereunto set and subscribed my/our respective hands to these presents the day and the year herein above written
Signed and Delivered

SOLE / FIRST HOLDER
C1
SECOND HOLDER
THIRD HOLDER

Authorised Signatory (HO purpose only)

In the presence of (Signature and Name of Witness)

Name _____

Address _____

Signature **W1**

Name _____

Address _____

Signature **W1**

We hereby agree to exercise the powers conferred upon us in terms of the clauses mentioned herein above. Date: _____

To,
 Matalia Stock Broking Pvt. Ltd.
 Matalia House, 21-New Jagnath Plot,
 Near Jain Bhuvan,
 RAJKOT – 360001.

From: Client Code: _____
 Client Name: _____
 Address: _____

 Date: _____

Dear Sir / Madam,

Sub: Addition to Trade in the Exchange(s) / Segment(s) mentioned in the KYC

1. I / We _____ registered with you as a client and wish to modify / add Trading Preference mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time thereafter.
2. I wish to trade in :

Exchange Segment	BSE	NSE	MCX-SX	USE
Capital (Cash Market)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
F&O (Derivative)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Currency Derivative	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SLB	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

* Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by client.

3. This letter is in addition to the authorization/preference given earlier by me/us to you modifying my trading preferences.
4. I/We understand that the brokerage charged to me in the new segment/ new exchange respective segment is specified below:

Segment	Square Up		Delivery	
	1 st Side	2 nd Side	Mini Paisa	%
Capital	Mini Paisa	%	Mini Paisa	%
F & O	Mini Paisa	%		
Currency Derivatives	Mini Paisa	%		

5. Email ID(mandatory in case of ECN): _____
6. Details of Income: _____ Proof given: _____
7. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on Matalia Stock Broking Pvt. Ltd. designated website www.matalia.co.in.
8. I understand that the Client Account Opening Form executed for other Exchange(s)/Segment(s) shall be considered as executed for all above mentioned Stock Exchange(s)/Segment(s)

Please enable me to deal/trade on aforesaid Stock Exchange(s) & Segment(s).

Yours Sincerely,

Thanking you,

Name of Client : _____ Signature of Client _____

Client Code: _____ Place: _____ Date: _____
 (In case of Non-individual clients, affix relevant Company Stamp and Signed by authorized signatories- The Modification letter should be signed in the same order as it has been signed in the original KYC)